

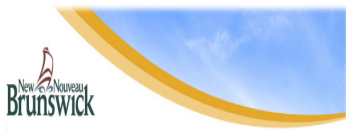
Pensions Division

Superintendent's List for Locked-In Retirement Account (LIRA)

Sorted by Financial Institution

Note: Financial Institution authorized to sell respective contracts under the Pension Benefits Act, S.N.B. 1987 as of the report date, 2018/05/23

Institution	Broker	Contract Name	NB Number	CCRA Number
Assumption Mutual Life Insurance Company				
	Assumption Mutual Life Insurance Company			
	- Assumption Life locked-in retirement account		NBC0526	RSP 110-009
B2B Trustco				
	AGF Management Ltd.			
	- AGF Retirement Savings Plan		NBC0605	RSP 417-029
	- Harmony Retirement Savings Plan		NBC0607	RSP 417-031
B2B Trustco				
	B2B Bank			
	- B2B Bank Retirement Savings Plan		NBC0593	RSP 417-026
B2B Trustco				
	B2B Bank Intermediary Services Inc.			
	- B2B Bank Intermediary Services Inc. Retirement Savings Plan		NBC0594	RSP 417-028
B2B Trustco				
	B2B Trustco			
	- B2B Bank Financial Services Inc. Retirement Savings Plan		NBC0058	RSP 417-013
	- B2B Bank Securities Services Inc. Retirement Savings Plan		NBC0305	RSP 417-019
	- Counsel Portfolio Services Inc. Retirement Savings Plan		NBC0498	RSP 417-024
	- Investors Group Financial Services Inc. RSP		NBC0655	RSP 417-033
	- Investors Group Securities Inc. RSP		NBC0654	RSP 417-032
B2B Trustco				
	Mackenzie Financial Corporation			
	- Mackenzie Retirement Savings Plan		NBC0242	RSP 417-002
B2B Trustco				
	PFSL Fund Management Ltd.			
	- Primerica Concert Retirement Savings Plan		NBC0606	RSP 417-030
B2B Trustco				
	Quadrus Investment Services Ltd.			
	- Quadrus Retirement Savings Plan		NBC0517	RSP 417-025
BMO Life Assurance Company				
	BMO Life Assurance Company			
	- BMO Guaranteed Investment Funds Retirement Savings Plan		NBC0625	RSP 232-025



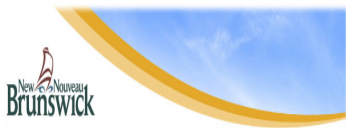
Pensions Division

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Institution	Broker	Contract Name	NB Number	CCRA Number
BMO Trust Company				
	BMO Investments Inc.			
	-	BMO Mutual Funds Retirement Savings Plan	NBC0093	RSP 527-002
BMO Trust Company				
	BMO Nesbitt Burns Inc.			
	-	BMO Nesbitt Burns Retirement Savings Plan	NBC0051	RSP 527-010
BMO Trust Company				
	BMO Trust Company			
	-	BMO Group Retirement Services Group RSP	NBC0569	RSP 527-047
	-	BMO GRS Group Retirement Savings Plan (Advisor Offer)	NBC0573	RSP 527-048
	-	BMO InvestorLine Group RSP	NBC0367	RSP 527-043
	-	BMO Investorline Retirement Savings Plan	NBC0392	RSP 527-009
	-	BMO Mutual Funds Group Retirement Savings Plan	NBC0094	RSP 527-003
	-	BMO Nesbitt Burns Group Retirement Savings Plan	NBC0366	RSP 527-011
	-	The Trust Company of Bank of Montreal Retirement Savings Plan	NBC0308	RSP 527-014
BMO Trust Company				
	Guardian Group of Funds Ltd.			
	-	BMO Retirement Savings Plan	NBC0390	RSP 527-006
	-	Guardian Group of Funds Ltd. Self-Directed Retirement Savings Plan	NBC0207	RSP 527-016
BMO Trust Company				
	The Bank of Montreal			
	-	Advisor's Advantage Trust Retirement Savings Plan	NBC0391	RSP 527-044
Canadian Imperial Bank of Commerce				
	Canadian Imperial Bank of Commerce			
	-	CIBC Deposit LIRA	NBC0017	RSP 338-005
Canadian Western Bank				
	Canadian Western Bank			
	-	Branch Guaranteed RSP	NBC0474	RSP 387-001
	-	Deposit Broker Guaranteed RSP	NBC0473	RSP 387-006
Canadian Western Trust				
	ATB Securities Inc.			
	-	ATB Securities Inc. Self-Directed RSP	NBC0547	RSP 418-037



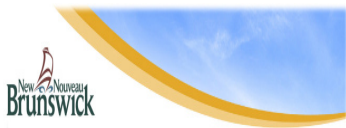
Pensions Division

Superintendent's List for Locked-In Retirement Account (LIRA)

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Institution	Broker	Contract Name	NB Number	CCRA Number
Canadian Western Trust				
	Canadian Western Trust			
		- Canadian Western Trust Company Guaranteed RSP	NBC0376	RSP 418-003
		- Canadian Western Trust Company Self-Directed RSP	NBC0375	RSP 418-001
Canadian Western Trust				
	Canoe Financial LP			
		- Canoe Financial General Retirement Savings Plan	NBC0656	RSP 418-067
Canadian Western Trust				
	Haywood Securities Inc.			
		- Haywood Securities Inc. Self-Directed Retirement Savings Plan	NBC0644	RSP 418-047
Canadian Western Trust				
	Leede Jones Gable Inc.			
		- Leede Jones Gable Inc. Self-Directed RSP	NBC0621	RSP 418-033
Canadian Western Trust				
	Morneau Shepell Ltd.			
		- Canadian Western Trust Company (M) Group RSP	NBC0613	RSP 418-054
		- Morneau Shepell Universal Program Retirement Savings Plan	NBC0667	RSP 418-069
Canadian Western Trust				
	Odlum Brown Limited			
		- Odlum Brown Limited Self-Directed Retirement Savings Plan	NBC0546	RSP 418-025
Canadian Western Trust				
	Open Access Limited			
		- Open Access Limited Group RSP	NBC0615	RSP 418-060
		- Open Access Limited RSP	NBC0616	RSP 418-061
Canadian Western Trust				
	Qtrade Asset Management Inc.			
		- Qtrade Asset Management Inc. Self-Directed Retirement Savings Plan	NBC0419	RSP 418-041
Canadian Western Trust				
	Qtrade Financial Group			
		- OceanRock Investments Inc. Retirement Savings Plan	NBC0622	RSP 418-046



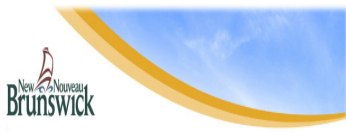
Pensions Division

Superintendent's List for Locked-In Retirement Account (LIRA)

Sorted by Financial Institution

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Institution	Broker	Contract Name	NB Number	CCRA Number
Canadian Western Trust	Qtrade Securities Inc.	- Qtrade Securities Inc. Self-Directed Retirement Savings Plan	NBC0420	RSP 418-040
Canadian Western Trust	Raymond James Ltd.	- Raymond James Ltd. Self-Directed Retirement Savings Plan	NBC0548	RSP 418-058
Canadian Western Trust	Worldsource Financial Management Inc.	- Worldsource Financial Management Inc. Self-Directed RSP	NBC0614	RSP 418-064
Central 1 Credit Union	Central 1 Credit Union	- B.C. Central Credit Union Self-Directed Retirement Savings Plan	NBC0144	RSP 115-006
		- B.C. Central Retirement Savings Plan	NBC0142	RSP 115-001
CIBC Mellon Trust Company	Christian Labour Association of Canada (CLAC)	- CLAC Group Retirement Savings Plan	NBC0620	RSP 524-036
CIBC Mellon Trust Company	CIBC Mellon Trust Company	- CIBC Mellon - General Retirement Savings Plan	NBC0273	RSP 524-016
		- Manulife Financial Group Retirement Savings Plan	NBC0534	RSP 524-029
		- Manulife Financial Group Retirement Savings Share Ownership Plan	NBC0407	RSP 524-026
CIBC Mellon Trust Company	Integra Capital Limited	- Integra Retirement Savings Plan	NBC0264	RSP 524-014
CIBC Mellon Trust Company	Portland Investment Counsel Inc.	- Portland Investment Counsel Inc. Retirement Savings Plan	NBC0647	RSP 524-042
CIBC Trust Corporation	Canadian Imperial Bank of Commerce	- Simplii Financial Retirement Savings Plan	NBC0265	RSP 322-025



Pensions Division

Superintendent's List for Locked-In Retirement Account (LIRA)

Sorted by Financial Institution

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Institution	Broker	Contract Name	NB Number	CCRA Number
CIBC Trust Corporation	CIBC Asset Management Inc.	- Renaissance Investments Retirement Savings Plan	NBC0394	RSP 322-030
CIBC Trust Corporation	CIBC Investor Services Inc.	- CIBC Investor Services Inc. Self-Directed Retirement Savings Plan	NBC0182	RSP 322-012
CIBC Trust Corporation	CIBC Securities Inc.	- CIBC Group RSP	NBC0156	RSP 322-015
		- CIBC Mutual Funds Retirement Savings Plan	NBC0041	RSP 322-017
CIBC Trust Corporation	CIBC SI/ISI	- CIBC Personal Portfolio Services Retirement Savings Plan	NBC0254	RSP 322-018
CIBC Trust Corporation	CIBC Trust Corporation	- CIBC Trust Self-Directed Retirement Savings Plan	NBC0148	RSP 322-003
CIBC Trust Corporation	CIBC World Markets Inc.	- CIBC Wood Gundy Self-Directed Retirement Savings Plan	NBC0043	RSP 322-010
CIBC Trust Corporation	Questrade Inc.	- Questrade Inc. Self-Directed Retirement Savings Plan	NBC0668	RSP 322-032
Coastal Community Credit Union	Coastal Community Credit Union	- Coastal Community Credit Union RSP	NBC0559	RSP 436-001
Computershare Trust Company of Canada	Canaccord Genuity Corp.	- Canaccord Genuity Corp. Self-Directed Retirement Savings Plan	NBC0351	RSP 574-503
Computershare Trust Company of Canada	Credential Securities Inc.	- Credential Securities Inc. Self-Directed Retirement Savings Plan	NBC0503	RSP 574-572

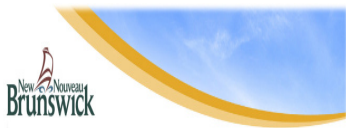
Pensions Division

Superintendent's List for Locked-In Retirement Account (LIRA)

Sorted by Financial Institution

Note: Financial Institution authorized to sell respective contracts under the Pension Benefits Act, S.N.B. 1987 as of the report date, 2018/05/23

Institution	Broker	Contract Name	NB Number	CCRA Number
Computershare Trust Company of Canada (Toronto)				
	BBS Securities Inc.			
	- BBS Securities Inc. Self-Directed Retirement Savings Plan		NBC0597	RSP 574-620
Computershare Trust Company of Canada (Toronto)				
	Caldwell Securities Ltd.			
	- Caldwell Securities Ltd. Retirement Savings Plan		NBC0329	RSP 574-244
Computershare Trust Company of Canada (Toronto)				
	Computershare Trust Company of Canada (Toronto)			
	- Computershare Trust Company of Canada Self-Directed RSP		NBC0650	RSP 574-653
	- Fidelity Investments Retirement Savings Plan		NBC0671	RSP 574-678
	- Ninepoint Partners LP Self-Directed Retirement Savings Plan		NBC0670	RSP 574-677
Computershare Trust Company of Canada (Toronto)				
	Edward Jones			
	- Edward Jones Self-Directed Retirement Savings Plan		NBC0539	RSP 574-599
Computershare Trust Company of Canada (Toronto)				
	Fidelity Clearing Canada ULC			
	- Fidelity Clearing Canada ULC Self-Directed RSP		NBC0660	RSP 574-674
Computershare Trust Company of Canada (Toronto)				
	GMP Securities L.P.			
	- GMP Securities L.P. Self-Directed Retirement Savings Plan		NBC0435	RSP 574-470
Computershare Trust Company of Canada (Toronto)				
	PI Financial Corp.			
	- PI Financial Corp. Self-Directed Retirement Savings Plan		NBC0596	RSP 574-279
Computershare Trust Company of Canada (Toronto)				
	Sprott Asset Management Inc.			
	- Sprott Asset Management L.P. Retirement Savings Plan		NBC0557	RSP 574-606
Computershare Trust Company of Canada (Toronto)				
	Sterling Mutuals Inc.			
	- Sterling Mutuals Inc. Self-Directed Retirement Savings Plan		NBC0659	RSP 574-673
Computershare Trust Company of Canada (Toronto)				
	Stone Investment Group Limited			
	- Stone Investment Group Limited Self-Directed Retirement Savings Plan		NBC0513	RSP 574-580



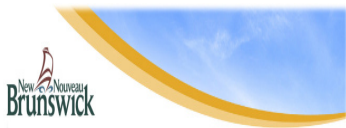
Pensions Division

Superintendent's List for Locked-In Retirement Account (LIRA)

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Institution	Broker	Contract Name	NB Number	CCRA Number
Concentra Bank	Concentra Bank	- Concentra Retirement Savings Plan	NBC0445	RSP 584-001
Concentra Trust	Acadia Financial Services Inc.	- Acadia Financial Services Multiple Fund Retirement Savings Plan	NBC0611	RSP 145-728
Concentra Trust	Alterna Savings and Credit Union Limited	- Alterna Savings Retirement Savings Plan	NBC0643	RSP 145-721
Concentra Trust	Atlantic Baptist Foundation	- Atlantic Baptist Foundation Retirement Savings Plan	NBC0455	RSP 145-682
Concentra Trust	Concentra Bank	- Concentra Self-Directed Retirement Savings Plan	NBC0462	RSP 145-005
Concentra Trust	Credential Asset Management Inc.	- Credential Asset Management Multiple Fund Retirement Savings Plan	NBC0453	RSP 145-664
Concentra Trust	Credit Unions	- Credit Union Retirement Savings Plan	NBC0452	RSP 145-658
Concentra Trust	GrowthWorks Capital Ltd.	- GrowthWorks-Matrix Retirement Savings Plan	NBC0458	RSP 145-703
Concentra Trust	JovFinancial Solutions Inc.	- JovFunds Self-Directed Retirement Savings Plan	NBC0525	RSP 145-695
Concentra Trust	Northwest & Ethical Investments L.P.	- Northwest & Ethical Investments L.P. Retirement Savings Plan	NBC0537	RSP 145-723



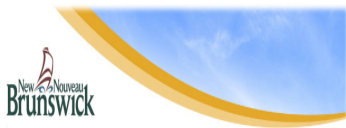
Pensions Division

Superintendent's List for Locked-In Retirement Account (LIRA)

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Institution	Broker	Contract Name	NB Number	CCRA Number
Concentra Trust				
	SaskWorks Venture Fund Inc.			
	- SaskWorks Venture Fund Inc. Retirement Savings Plan		NBC0589	RSP 145-687
Concentra Trust				
	Tangerine Investment Funds Limited			
	- Tangerine Investment Funds Limited Self-Directed Retirement Savings Plan		NBC0454	RSP 145-680
Co-operators Life Insurance Company				
	Co-operators Life Insurance Company			
	- Aviator Portfolios Retirement Savings Plan		NBC0665	RSP 144-020
	- Co-operators Life Ins. Co. Group RSP		NBC0293	RSP 144-008
	- Co-operators Life Ins. Co. Restricted Contribution Group RSP		NBC0294	RSP 144-010
	- Versatile Portfolios Navigator Retirement Savings Plan		NBC0635	RSP 144-019
CUMIS Life Insurance Company				
	CUMIS Life Insurance Company			
	- CUMIS Life Insurance Company Restricted Contribution Group RSP		NBC0661	RSP 149-007
	- Locked-in Retirement Account, Group RSP		NBC0112	RSP 149-005
Desjardins Financial Security Life Assurance Company				
	Desjardins Financial Security Life Assurance Company			
	- Deferred Life Annuity Flex 1 Plus RSP		NBC0634	RSP 111-128
	- Desjardins Financial Security Guaranteed Investment Funds Plan - Helios RSP		NBC0523	RSP 111-112
	- Flexible Premium Annuity Flex 5 Plus RSP		NBC0633	RSP 111-124
	- Locked-in Retirement Account		NBC0023	RSP 111-043
	- National Savings Contract		NBC0165	RSP 111-072
	- Placement flexible-CRI		NBC0050	RSP 111-041
	- Term Investments Contract		NBC0632	RSP 111-114
Desjardins Trust Inc.				
	Desjardins Financial Security Life Assurance Company			
	- Desjardins Financial Security Investments Inc. RSP		NBC0505	RSP 168-134
Desjardins Trust Inc.				
	Desjardins Trust Inc.			
	- Caisses / Credit Unions RSP		NBC0512	RSP 168-136
	- Desjardins Funds and Guaranteed Investment RSP		NBC0514	RSP 168-007
	- Desjardins Securities Inc. Self-Directed RSP		NBC0383	RSP 168-066



Pensions Division

Superintendent's List for Locked-In Retirement Account (LIRA)

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Institution	Broker	Contract Name	NB Number	CCRA Number
Desjardins Trust Inc.	Desjardins Trust Inc.	- Self-Managed RSP	NBC0515	RSP 168-025
Desjardins Trust Inc.	SFL Investments	- SFL Investments RSP	NBC0518	RSP 168-137
First Calgary Financial Credit Union Ltd.	First Calgary Financial Credit Union Ltd.	- Locked-In Retirement Savings Plan	NBC0187	RSP 519-001
HSBC Bank Canada	HSBC Bank Canada	- HSBC Bank Canada Retirement Savings Plan	NBC0110	RSP 337-001
HSBC Trust Company (Canada)	HSBC Trust Company (Canada)	- Household Trust Locked-in Retirement Account	NBC0080	RSP 329-001
HSBC Trust Company (Canada)	HSBC Global Asset Management (Canada) Limited	- HSBC Pooled Funds Retirement Savings Plan	NBC0297	RSP 544-008
HSBC Trust Company (Canada)	HSBC Investment Funds (Canada) Inc.	- HSBC Mutual Funds Retirement Savings Plan	NBC0111	RSP 544-004
HSBC Trust Company (Canada)	HSBC Securities (Canada) Inc.	- HSBC InvestDirect Self-Directed Retirement Savings Plan	NBC0288	RSP 544-010
HSBC Trust Company (Canada)	HSBC Trust Company (Canada)	- HSBC Trust Company (Canada) Retirement Savings Plan	NBC0434	RSP 544-009
		- HSBC Trust Company Retirement Savings Plan	NBC0296	RSP 544-001
HSBC Trust Company (Canada)	National Bank Financial Inc.	- National Bank Financial Inc. Self-Directed Retirement Savings Plan	NBC0289	RSP 544-011

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Institution	Broker	Contract Name	NB Number	CCRA Number
Industrial Alliance Insurance and Financial Services Inc.				
	Industrial Alliance Insurance and Financial Services Inc.			
		- IA Clarington GIF Individual Variable Annuity Contract	NBC0585	RSP 104-040
		- IAG Savings and Retirement Plan	NBC0577	RSP 104-042
		- Industrial Alliance Group Retirement Savings Plan	NBC0623	RSP 104-050
		- Industrial Alliance Insurance and Financial Services Inc. Group Retirement Savings Plan	NBC0364	RSP 104-013
Industrial Alliance Trust Inc. (IAT)				
	FundEX Investments Inc.			
		- FundEX Investments Inc. Retirement Savings Plan	NBC0574	RSP 575-011
Industrial Alliance Trust Inc. (IAT)				
	IA Clarington Investments Inc.			
		- IA Clarington Investments Inc. Retirement Savings Plan	NBC0472	RSP 575-005
Industrial Alliance Trust Inc. (IAT)				
	Industrial Alliance Securities Inc.			
		- Industrial Alliance Securities Inc. Retirement Savings Plan	NBC0466	RSP 575-003
Industrial Alliance Trust Inc. (IAT)				
	Investia Financial Services Inc.			
		- Investia Financial Services Inc. Retirement Savings Plan	NBC0538	RSP 575-007
Investors Group Trust Co.				
	London Life Insurance Company			
		- London Life Trusteed Group Retirement Savings Plan	NBC0489	RSP 193-065
		- London Life Trusteed Group Retirement Savings Plan (2)	NBC0482	RSP 193-066
Investors Group Trust Co. Ltd.				
	Investors Group Financial Services Inc.			
		- Investors Group Financial Services Locked-in Retirement Account	NBC0006	RSP 193-029
Knights of Columbus				
	Knights of Columbus			
		- Locked-in Retirement Account	NBC0109	RSP 196-005
La Capitale Civil Service Insurer				
	La Capitale Civil Service Insurer			
		- (Pancanadian) Retirement Savings Plan	NBC0672	RSP 220-020

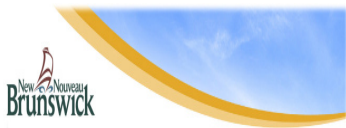
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Institution	Broker	Contract Name	NB Number	CCRA Number
LBC Trust	Laurentian Bank Securities	- Laurentian Bank Securities Retirement Savings Plan	NBC0602	RSP 211-052
LBC Trust	LBC Trust	- Laurentian Bank of Canada Retirement Savings Plan	NBC0481	RSP 211-002
LBC Trust	Return on Innovation Management Inc.	- Return on Innovation Fund Inc. Retirement Savings Plan	NBC0558	RSP 211-084
League Savings & Mortgage Company	League Savings & Mortgage Company	- League Savings & Mortgage Locked-in Retirement Account	NBC0035	RSP 421-001
London Life Insurance Company	London Life Insurance Company	- Flexible Investment Group Retirement Savings Plan	NBC0213	RSP 202-116
		- Freedom Funds LIRA	NBC0011	RSP 202-051
		- London Life Broker Sponsored Group Retirement Savings Plan	NBC0368	RSP 202-123
		- London Life Group Retirement Savings Plan	NBC0358	RSP 202-121
		- London Life Guaranteed Interest RSP	NBC0662	RSP 202-131
		- London Life Preferred Series 2 Segregated Funds RSP	NBC0586	RSP 202-128
		- London Life Segregated Funds RSP	NBC0543	RSP 202-127
		- Performer and Enhanced Performer Group RSP	NBC0160	RSP 202-053
Manulife Trust Company	Manulife Investments, a Division of Manulife Asset Management Limited	- Manulife Investments Retirement Savings Plan	NBC0646	RSP 642-005
Manulife Trust Company	Manulife Private Wealth, a Division of Manulife Asset Management Ltd.	- Manulife Private Wealth Retirement Savings Plan	NBC0595	RSP 642-001
Manulife Trust Company	Manulife Securities Incorporated	- Manulife Securities Incorporated Self-Directed Retirement Savings Plan	NBC0648	RSP 642-003



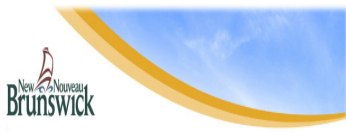
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Institution	Broker	Contract Name	NB Number	CCRA Number
Manulife Trust Company				
	Manulife Securities Investment Services Inc.			
	- Manulife Securities Investment Services Inc. Self-Directed Retirement Savings Plan		NBC0649	RSP 642-004
Natcan Trust Company				
	Natcan Trust Company			
	- Natcan Trust Company Locked-in Retirement Account		NBC0078	RSP 525-001
Natcan Trust Company				
	National Bank Direct Brokerage Inc.			
	- National Bank Direct Brokerage Inc. Retirement Savings Plan		NBC0404	RSP 525-026
Natcan Trust Company				
	National Bank Financial Inc.			
	- National Bank Financial Inc. Self-Directed Retirement Savings Plan		NBC0508	RSP 525-025
Natcan Trust Company				
	National Bank Financial Ltd.			
	- National Bank Financial Ltd. Self Directed Retirement Savings Plan		NBC0507	RSP 525-024
Natcan Trust Company				
	National Bank Securities Inc.			
	- National Bank Investments Inc. Registered Retirement Savings Plan		NBC0432	RSP 525-029
Natcan Trust Company				
	National Bank Trust Inc.			
	- Private Investment Management Locked-in Retirement Account		NBC0439	RSP 525-035
Natcan Trust Company				
	NBCN Inc.			
	- Natcan Trust Company Self-Directed Locked-in Retirement Savings Plan		NBC0304	RSP 525-018
National Bank of Canada				
	National Bank of Canada			
	- Locked-in Retirement Account		NBC0077	RSP 330-001
Olympia Trust Comapny				
	Olympia Trust Comapny			
	- Olympia Trust Company Self-Directed Retirement Savings Plan		NBC0599	RSP 542-001



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Institution	Broker	Contract Name	NB Number	CCRA Number
Peace Hills Trust	Peace Hills Trust			
		- Peace Hills Trust Retirement Savings Plan	NBC0483	RSP 424-001
Primerica Life Insurance Company of Canada	Primerica Life Insurance Company of Canada			
		- Primerica Common Sense Funds Retirement Savings Plan	NBC0271	RSP 528-001
RBC Life Insurance Company	RBC Life Insurance Company			
		- RBC Guaranteed Investment Funds	NBC0493	RSP 442-016
SSQ, Life Insurance Company Inc.	SSQ, Life Insurance Company Inc.			
		- S�curifonds II Retirement Savings Plan (IVIC)	NBC0604	RSP 219-025
		- SSQ Retirement Savings Plan	NBC0541	RSP 219-022
		- SSQ Retirement Savings Plan	NBC0536	RSP 219-014
State Street Trust Company Canada	Russell Investments Canada Ltd.			
		- Russell Investments Retirement Savings Plan	NBC0527	RSP 565-011
State Street Trust Company Canada	Sentry Investments Inc.			
		- Sentry Retirement Savings Plan	NBC0490	RSP 565-007
Sun Life Assurance Company of Canada	CI Investments Inc.			
		- SunWise Essential Series 2 Segregated Funds (base contract) RSP	NBC0591	RSP 272-139
		- SunWise Essential Series 2 Segregated Funds (income class) RSP	NBC0592	RSP 272-140
		- SunWise Essential Series Retirement Savings Plan	NBC0562	RSP 272-137
Sun Life Assurance Company of Canada	Sun Life Assurance Company of Canada			
		- Canadian Dental Group RSP	NBC0233	RSP 272-070
		- Sun Guaranteed Investment Fund Solutions Retirement Savings Plan	NBC0636	RSP 272-142
		- Sun Life of Canada Specimen Group RSP	NBC0262	RSP 272-068
		- Sun Life Superflex Deferred Annuity RSP	NBC0496	RSP 272-134
		- Sun Lifetime Advantage Guaranteed Investment Fund Retirement Savings Plan	NBC0637	RSP 272-143
		- Sun Protect Guaranteed Investment Fund Retirement Savings Plan	NBC0638	RSP 272-144

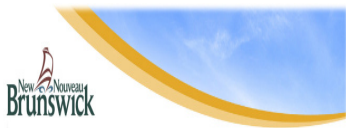
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Institution	Broker	Contract Name	NB Number	CCRA Number
Sun Life Assurance Company of Canada	Sun Life Assurance Company of Canada	- SunSpectrum Interest Account Retirement Savings Plan	NBC0393	RSP 272-075
Sun Life Financial Trust Inc.	Sun Life Assurance Company of Canada	- Sun Life Financial Trust Inc. Group RSP	NBC0309	RSP 570-004
Sun Life Financial Trust Inc.	Sun Life Investment Services (Canada) Inc.	- SLF Investment Services (Canada) Retirement Savings Plan	NBC0598	RSP 570-043
Tangerine Bank	Tangerine Bank	- Tangerine Bank Retirement Savings Plan	NBC0286	RSP 545-001
The Bank of Nova Scotia Trust Company (Scotiastrust)	1832 Asset Management L.P.	- 1832 Retirement Savings Plan	NBC0618	RSP 520-019
The Bank of Nova Scotia Trust Company (Scotiastrust)	Bank of Nova Scotia and Scotia Securities Inc.	- Scotia Locked-in Retirement Account	NBC0019	RSP 520-005
The Bank of Nova Scotia Trust Company (Scotiastrust)	Scotia Capital Inc.	- Scotia Self-Directed Locked-in Retirement Account	NBC0399	RSP 520-004
The Bank of Nova Scotia Trust Company (Scotiastrust)	Scotia Private Client Group	- Scotia Retirement Savings Plan	NBC0348	RSP 520-014
The Canada Life Assurance Company	The Canada Life Assurance Company	- Canada Life Generations	NBC0267	RSP 125-102
		- Canada Life Guaranteed Interest RSP	NBC0664	RSP 125-118
		- Canada Life Preferred Series 2 Segregated Funds RSP	NBC0588	RSP 125-115
		- Canada Life Segregated Funds RSP	NBC0544	RSP 125-114
		- Comprehensive Annuity Policy	NBC0069	RSP 125-055



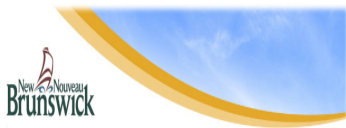
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Superintendent's List for Locked-In Retirement Account (LIRA)

Sorted by Financial Institution

Note: Financial Institution authorized to sell respective contracts under the Pension Benefits Act, S.N.B. 1987 as of the report date, 2018/05/23

Institution	Broker	Contract Name	NB Number	CCRA Number
The Canada Trust Company	Assante Capital Management Ltd.	- Assante Capital Management Ltd. Retirement Savings Plan	NBC0318	RSP 521-124
The Canada Trust Company	Assante Financial Management Ltd.	- Assante Financial Management Ltd. Retirement Savings Plan	NBC0319	RSP 521-126
The Canada Trust Company	Canadian Shareowner Investments Inc.	- Canadian ShareOwner Investments Self-Directed RSP	NBC0641	RSP 521-145
The Canada Trust Company	CI Investments Inc.	- CI Investments Insight Program Retirement Savings Plan	NBC0263	RSP 521-107
		- CI Investments Retirement Savings Plan	NBC0136	RSP 521-024
The Canada Trust Company	IPC Investment Corporation	- IPCIC Self-Directed Retirement Savings Plan	NBC0568	RSP 521-165
The Canada Trust Company	Manulife Bank of Canada	- Manulife Bank of Canada Retirement Savings Plan	NBC0478	RSP 521-160
The Canada Trust Company	Peak Investment Services Inc.	- Peak Investment Services Inc. Self-Directed RSP	NBC0639	RSP 521-066
The Canada Trust Company	Peak Securities Inc.	- Peak Securities Inc. Self-Directed RSP	NBC0640	RSP 521-128
The Canada Trust Company	TD Asset Management Inc.	- TD Future Builder Group Retirement Savings Plan	NBC0337	RSP 521-138
		- TD Mutual Funds Retirement Savings Plan	NBC0157	RSP 521-004
The Canada Trust Company	TD Waterhouse (Canada) Inc.	- TD Waterhouse Self-Directed Retirement Savings Plan	NBC0107	RSP 521-008



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Institution	Broker	Contract Name	NB Number	CCRA Number
The Canada Trust Company				
	TD Waterhouse Private Investment Counsel Inc.			
	- TD Waterhouse Private Investment Counsel Retirement Savings Plan		NBC0119	RSP 521-015
The Canada Trust Company				
	TD Waterhouse Private Trust			
	- TD Waterhouse Private Trust Retirement Savings Plan		NBC0416	RSP 521-154
The Canada Trust Company				
	TheToronto-Dominion Bank			
	- TD Canada Trust Retirement Savings Plan		NBC0036	RSP 521-005
	- TD Employee Future Builder Group RSP		NBC0567	RSP 521-006
The Empire Life Insurance Company				
	The Empire Life Insurance Company			
	- Class Plus 2.1		NBC0631	RSP 157-185
	- Class Plus3.0 Retirement Savings Plan		NBC0666	RSP 157-188
	- Empire Life Guaranteed Investment Funds 100/100		NBC0630	RSP 157-186
	- Empire Life Guaranteed Investment Funds 75/100		NBC0629	RSP 157-184
	- Empire Life Guaranteed Investment Funds 75/75		NBC0642	RSP 157-187
	- Guaranteed Interest Contract		NBC0628	RSP 157-183
The Equitable Life Insurance Company of Canada				
	The Equitable Life Insurance Company of Canada			
	- Equitable Life Pivotal Solutions Retirement Savings Plan		NBC0492	RSP 159-024
	- Pivotal Select Retirement Savings Plan		NBC0610	RSP 159-028
The Great-West Life Assurance Company				
	Investors Group			
	- Flexible Accumulation Annuity Investors Group Series		NBC0257	RSP 178-054
The Great-West Life Assurance Company				
	The Great-West Life Assurance Company			
	- Flexible Accumulation Annuity		NBC0226	RSP 178-047
	- Great-West Life Guaranteed Interest RSP		NBC0663	RSP 178-061
	- Great-West Life Preferred Series 2 Segregated Funds RSP		NBC0587	RSP 178-058
	- Great-West Life Segregated Fund Investors Group Series RSP		NBC0551	RSP 178-057
	- Great-West Life Segregated Funds RSP		NBC0545	RSP 178-056

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Institution	Broker	Contract Name	NB Number	CCRA Number
The Independent Order of Foresters				
		The Independent Order of Foresters		
		- Forester Annuity Plus	NBC0102	RSP 189-002
		- Forester Growth Funds Plus	NBC0291	RSP 189-003
The Manufacturers Life Insurance Company (Manulife Financial)				
		The Manufacturers Life Insurance Company (Manulife Financial)		
		- GRO-Group Retirement Savings Plan	NBC0421	RSP 206-045
		- Manulife Guaranteed Interest Contract RSP	NBC0377	RSP 206-061
		- Manulife Guaranteed Investment Funds Select (GIF Select)	NBC0553	RSP 206-064
		- Manulife Ideal Term Funds Retirement Savings Plan	NBC0669	RSP 206-072
		- Manulife PensionBuilder Retirement Savings Plan	NBC0570	RSP 206-065
		- Manulife Private Investment Pools MPIP Segregated Pools RSP	NBC0626	RSP 206-067
		- Manulife RetirementPlus Retirement Savings Plan	NBC0617	RSP 206-066
		- Maritime Life Elite Portfolio (MLEP) Locked-In Retirement Account	NBC0386	RSP 207-052
		- Maritime Life Synchrony Funds Locked-In Retirement Account	NBC0342	RSP 207-031
The Royal Trust Company				
		AEGON Fund Management		
		- imaxx Retirement Savings Plan	NBC0357	RSP 254-516
The Royal Trust Company				
		Arrow Capital Management Inc.		
		- Arrow Capital Management Retirement Savings Plan	NBC0627	RSP 254-570
The Royal Trust Company				
		BNY Mellon Wealth Management, Advisor Services Inc.		
		- BNY Mellon Wealth Management, Advisory Services Retirement Savings Plan	NBC0658	RSP 254-577
The Royal Trust Company				
		Bullion Management Services Inc.		
		- Bullion Management Services Inc. Retirement Savings Plan	NBC0583	RSP 254-527
The Royal Trust Company				
		EdgePoint Wealth Management Inc.		
		- EdgePoint Wealth Management Inc. RSP	NBC0579	RSP 254-552
The Royal Trust Company				
		Fiera Capital Corporation		
		- Fiera Capital Corporation Retirement Savings Plan	NBC0580	RSP 254-483

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Institution	Broker	Contract Name	NB Number	CCRA Number
The Royal Trust Company	Franklin Templeton Investments Corp.	- Franklin Templeton Investments Retirement Savings Plan	NBC0116	RSP 254-416
The Royal Trust Company	Global Growth Assets Inc.	- Global Growth Assets Inc. Retirement Savings Plan	NBC0624	RSP 254-568
The Royal Trust Company	Gluskin Sheff & Associates Inc.	- Gluskin Sheff & Associates Inc. Retirement Savings Plan	NBC0576	RSP 254-391
The Royal Trust Company	I.A. Michael Investment Counsel Ltd.	- I.A. Michael Investment Counsel Ltd. Retirement Savings Plan	NBC0575	RSP 254-489
The Royal Trust Company	Letko Brosseau & Associates Inc.	- Letko Brosseau Inc. Retirement Savings Plan	NBC0645	RSP 254-573
The Royal Trust Company	Louisbourg Investments Inc.	- Louisbourg Investments Inc. Retirement Savings Plan	NBC0555	RSP 254-556
The Royal Trust Company	Mawer Investment Management Ltd.	- Mawer Investment Management Ltd. Retirement Savings Plan	NBC0608	RSP 254-493
The Royal Trust Company	MD Management Ltd.	- Canadian Medical Association Retirement Savings Plan	NBC0134	RSP 254-014
The Royal Trust Company	Phillips, Hager & North Investment Management Ltd.	- Phillips, Hager & North Investment Management Ltd. Retirement Savings Plan	NBC0556	RSP 254-559
The Royal Trust Company	RBC Dominion Securities Inc.	- RBC Dominion Securities Inc. Self-Directed Retirement Savings Plan	NBC0063	RSP 254-497

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Institution	Broker	Contract Name	NB Number	CCRA Number
The Royal Trust Company	RBC Investor Services Trust	- RBC Investor Services Trust Retirement Savings Plan	NBC0509	RSP 254-533
The Royal Trust Company	RBC Phillips, Hager & North Investment Counsel Inc.	- RBC Phillips, Hager & North Investment Counsel Inc. RSP	NBC0560	RSP 254-548
The Royal Trust Company	RBC Private Counsel (USA) Inc.	- RBC Private Counsel (USA) Inc. Retirement Savings Plan	NBC0600	RSP 254-565
The Royal Trust Company	Sun Life Global Investments (Canada) Inc.	- Sun Life Global Investments (Canada) Inc. Retirement Savings Plan	NBC0563	RSP 254-561
The Royal Trust Company	The Empire Life Insurance Company	- Empire Life Mutual Funds Retirement Savings Plan	NBC0657	RSP 254-574
The Royal Trust Company	The Royal Trust Company	- Burgundy Asset Management Retirement Savings Plan	NBC0323	RSP 254-396
		- Highstreet Asset Management Retirement Savings Plan	NBC0412	RSP 254-474
		- Invesco Retirement Savings Plan	NBC0344	RSP 254-514
		- Mackie Research Capital Corporation Retirement Savings Plan	NBC0470	RSP 254-355
		- MD Private Trust Company Retirement Savings Plan	NBC0325	RSP 254-511
		- Montrusco Bolton Investments Inc. Retirement Savings Plan	NBC0533	RSP 254-488
		- RBC Asset Management Inc. Retirement Savings Plan	NBC0408	RSP 254-522
		- RBC Direct Investing Inc. Retirement Savings Plan	NBC0343	RSP 254-378
		- Royal Bank of Canada	NBC0359	RSP 254-381
		- Royal Trust Personal Trust Services	NBC0572	RSP 254-007
		- SEI Investments Canada Company Group Retirement Savings Plan	NBC0418	RSP 254-457
		- SEI Investments Canada Company Retirement Savings Plan	NBC0417	RSP 254-456
The Royal Trust Company	The Royal Trust Corporation of Canada	- Royal Trust Personal Trust Services Retirement Savings Plan	NBC0167	RSP 255-007

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Institution	Broker	Contract Name	NB Number	CCRA Number
The Royal Trust Company				
	Value Partners Investments Inc.			
	- Value Partners Investments Inc. Retirement Savings Plan		NBC0479	RSP 254-536
The Royal Trust Company				
	Wave Cycle Mutual Funds Ltd.			
	- Wave Cycle Mutual Funds Ltd. Retirement Savings Plan		NBC0532	RSP 254-547
The Standard Life Assurance Company of Canada (Standard Life Canada)				
	The Standard Life Assurance Company of Canada (Standard Life Canada)			
	- Group Retirement Solutions - association-sponsored: guaranteed & pooled funds		NBC0565	RSP 267-047
	- Group Retirement Solutions - association-sponsored: guaranteed funds		NBC0566	RSP 267-044
	- Group Retirement Solutions - employer-sponsored: guaranteed & pooled funds		NBC0127	RSP 267-046
	- Group Retirement Solutions - employer-sponsored: guaranteed funds		NBC0126	RSP 267-042
	- Tri-Plan Group RSP		NBC0037	RSP 267-019
The Wawanesa Life Insurance Company				
	The Wawanesa Life Insurance Company			
	- Wawanesa Life Insurance Company LIRA		NBC0225	RSP 291-003
Transamerica Life Canada				
	Transamerica Life Canada			
	- Transamerica Guaranteed Investment Funds (TGIF)		NBC0549	RSP 214-026
	- Transpac		NBC0550	RSP 214-027